

14/26/01
J1041 U.S. PTO

04-27-01

A

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002, OMB 0651-0032
Patent and Trademark Office; U S DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket 01AB021

First Inventor Richard D. Harris

Title Method for Fabricating an Isolated Microelectromechanical System (MEMS) Device Using an Internal Void

Express Mail Label No. EF 077 949 321 US

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents

- | | |
|--|--|
| 1. <input checked="" type="checkbox"/> Fee transmittal Form
(Submit an original and a duplicate for fee processing) | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) |
| 2. <input type="checkbox"/> Applicant claims small entity status
See 37 CFR 1.27. | 8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary) |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages
(preferred arrangement set forth below) 19]

- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed Sponsored R&D
- Reference to sequence listing, a table
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure | a. <input type="checkbox"/> Computer Readable Form (CRF)
b. <input type="checkbox"/> Specification Sequence Listing on
i. <input type="checkbox"/> CD-ROM or CD-R (2 Copies); or
ii. <input type="checkbox"/> paper

c. <input type="checkbox"/> Statements verifying identity of above copies |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4] | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents) |
| 5. Oath or Declaration [Total Pages 3]

a. <input checked="" type="checkbox"/> Newly executed (original or copy)
b. <input type="checkbox"/> Copy from prior Application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 17 completed)</i>

i. <input type="checkbox"/> DELETION OF INVENTOR(S)
<i>Signed Statement attached deleting inventor(s)
named in prior application, see 37 CFR 1.63(d)(2)
and 1.33(b))</i> | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney
(where there is an assignee) |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | 11. <input type="checkbox"/> English Translation Document (if applicable)
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment
14. <input checked="" type="checkbox"/> Return receipt postcard (MPEP, 503)
<i>(Should be specifically itemized)</i>
15. <input type="checkbox"/> Certified copy of priority Document(s)
<i>(if foreign priority is claimed)</i>
16. <input type="checkbox"/> Other: |

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76

Continuation Divisional Continuation-in-part (CIP) of prior application no /

Prior application information: Examiner.

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	John J. Horn				
ADDRESS	Rockwell Technologies, LLC 1201 S. Second Street, 8-T29				
CITY	Milwaukee	STATE	WI	ZIP	53204
	U.S.	TELEPHON	(414) 382-3561	FAX	(414) 382-3900

Name	John J. Horn	Registration No (attorney/Agent)	28,803
Signature			
	Date. 4/26/01		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. 5043472

10/29/01
11033 US PTO
09/842545

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$1,496.00

Complete if Known	
Application Number	
Filing Date	Herewith
First Named Inventor	Richard D Harris
Group Art Unit	
Examiner Name	
Attorney Docket Number	01AB021

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

01-0857

Rockwell Technologies LLC

Deposit Account Number

Deposit Account Name

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- Applicant claims small entity status See 37 CFR 1.27

2. Payment Enclosed:

Check Credit Card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,240	241	620	Petition to revive unintentionally abandoned application	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	2496	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	270	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$40.00)

SUBTOTAL (2) (\$746.00)

SUBMITTED BY #5020411/ 110003.97460

Complete (if applicable)

Typed or Printed Name	John J. Horn	Registration No. (Attorney/Agent)	28,803	Telephone	(414) 382-3561
Signature	JJHorn			Date	4/26/01